UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

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# NOTICE OF ALLOWANCE AND FEE(S) DUE

5514 7590 01/21/2009 FITZPATRICK CELLA HARPER & SCINTO 30 ROCKEFELLER PLAZA

NEW YORK, NY 10112

EXAMINER
RICKMAN, HOLLY C

ART UNIT PAPER NUMBER

1794

DATE MAILED: 01/21/2009

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/532,232      | 04/22/2005  | Nobuhiro Yasui       | 03500.017649.       | 9042             |

TITLE OF INVENTION: STRUCTURED MATERIAL, MAGNETIC RECORDING MEDIUM UTILIZING THE SAME, AND MAGNETIC RECORDING/REPRODUCING APPARATUS

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$300               | \$0                  | \$1810           | 04/21/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR INSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and I/2 the ISSUE FFE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

| INSTRUCTIONS: This I<br>appropriate. All further c<br>indicated unless corrected<br>maintenance fee notificati  | form should be used for<br>orrespondence includir<br>d below or directed oth  | or transmitting the<br>ng the Patent, adva<br>nerwise in Block 1                                    | nce of<br>by (a                          | JE FEE and PUBLICATI<br>rders and notification of n<br>a) specifying a new corres  | ON FEE (if requi<br>naintenance fees w<br>pondence address;  | red). I<br>rill be<br>and/or           | Hocks 1 through 5 st<br>mailed to the current<br>(b) indicating a sepa  | nould be completed whe<br>correspondence address :<br>rate "FEE ADDRESS" f   |  |
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| INSTRUCTANCE ICE INCUITICATIONS.  CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  |   |   |  | Note<br>Fee(<br>pape   | Note: A certificate of mailing can only be used for domestic mailings of the<br>Fee(s) Transmittal. This certificate cannot be used for any other accompanying<br>papers. Each additional paper, such as an assignment or formal drawing, must<br>have its own certificate of mailing or transmission. |  |   |  |  |
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| FITZPATRICK<br>30 ROCKEFELL<br>NEW YORK, NY   |   | ER & SCINTO   | )  | I her<br>State<br>addr<br>trans  | eby certify that the<br>is Postal Service we<br>essed to the Mail<br>mitted to the USP.  | is Fee(:<br>rith suf<br>Stop<br>FO (57 | s) Transmittal is being<br>ficient postage for firs<br>ISSUE FEE address<br>I) 273-2885, on the d                         | deposited with the Unite<br>t class mail in an envelor<br>above, or being facsimi<br>ate indicated below.                                  |  |
|   |   |   |  |  |  |  |   | (Depositor's name  |  |
|   |   |   |  |  |  |  |   | (Signature   |  |
|   |   |   |  |  |  |  |   | (Date  |  |
| APPLICATION NO.   | FILING DATE   |   |  | FIRST NAMED INVENTOR   |  | ATTO                                   | RNEY DOCKET NO.   | CONFIRMATION NO.   |  |
| 10/532,232  | 04/22/2005  |   |  | Nobuhiro Yasui   |  | -                                      | 3500.017649.  | 9042   |  |
| TITLE OF INVENTION RECORDING/REPRODU  |   | MATERIAL, MA  | SNET                                     | IC RECORDING MEDI  | UM UTILIZING   | THE                                    | SAME, AND MA  | GNETIC   |  |
| APPLN. TYPE   | SMALL ENTITY  | ISSUE FEE DU  | Е  | PUBLICATION FEE DUE  | PREV. PAID ISSUE   | FEE                                    | TOTAL FEE(S) DUE  | DATE DUE   |  |
| nonprovisional  | NO  | \$1510  |  | \$300  | \$0  | \$1810                                 |   | 04/21/2009   |  |
| EXAMI   | NER   | ART UNIT  |  | CLASS-SUBCLASS   |  |  |   |  |  |
| RICKMAN,  | HOLLY C   | I794  |  | 428-668000   |  |  |   |  |  |
| 1. Change of corresponder<br>CFR 1.363).  Change of corresponderess form PTO/SB/ "Fee Address" indic<br>PTO/SB/47; Rev 03-02<br>Number is required.                         | ndence address (or Cha<br>/122) attached.   | nge of Corresponde  | ence                                     | For printing on the p     (I) the names of up to or agents OR, alternative     (2) the name of a single registered attorney or a 2 registered patent attolisted, no name will be | 3 registered patentely,<br>efirm (having as a<br>gent) and the name<br>meys or agents. If  | memb<br>s of u                         | era 2   |  |  |
| PLEASE NOTE: Unle<br>recordation as set forth<br>(A) NAME OF ASSIG  | ess an assignee is ident<br>in 37 CFR 3.11. Comp<br>NEE   | ified below, no ass<br>eletion of this form   | ignee<br>is NO                           | THE PATENT (print or typ<br>data will appear on the pr<br>T a substitute for filing an :<br>(B) RESIDENCE: (CITY   | ntent. If an assign<br>assignment.<br>and STATE OR C   | OUNT                                   | RY)   |  |  |
| Please check the appropria  | ate assignee category or  | categories (will no   | t be pr                                  | inted on the patent):  | Individual   | rporati                                | on or other private gro   | up entity Governmen  |  |
| 4a. The following fee(s) as  Issue Fee Publication Fee (No  | small entity discount p   |   | 41                                       | o. Payment of Fee(s): (Plea A check is enclosed. Payment by credit can The Director is hereby overpayment, to Depo   | Form PTO-2038     authorized to char   | is atta                                | ched.<br>required fee(s), any de  |  |  |
| 5. Change in Entity State  a. Applicant claims  | SMALL ENTITY state  | is. See 37 CFR 1.27   |  | b. Applicant is no long  |  |  |   |  |  |
| NOTE: The Issue Fee and<br>interest as shown by the re  | Publication Fee (if req<br>ecords of the United Sta   | uired) will not be a<br>tes Patent and Trad   | ccepte<br>emark                          | d from anyone other than to<br>Office.   | ne applicant; a regi   | stered a                               | ittorney or agent; or th  | e assignee or other party i  |  |
| Authorized Signature _  |   |   |  |  | Date   |  |   |  |  |
| Typed or printed name   |   |   |  |  | Registration N   |  |   |  |  |
| This collection of informa<br>an application. Confidenti<br>submitting the completed<br>this form and/or suggestio<br>Box 1450, Alexandria, Vi<br>Alexandria, Virginia 2231 | tion is required by 37 C<br>ality is governed by 35<br>application form to the<br>ons for reducing this bur<br>rginia 22313-1450. DC<br>3-1450. | FR 1.311. The info<br>U.S.C. 122 and 37<br>USPTO. Time wi<br>rden, should be sen<br>O NOT SEND FEE: | CFR<br>CFR<br>II vary<br>t to th<br>S OR | on is required to obtain or r<br>1.14. This collection is est<br>depending upon the indiv<br>e Chief Information Office<br>COMPLETED FORMS TO                                    | etain a benefit by the<br>imated to take 12 r<br>idual case. Any co<br>r, U.S. Patent and<br>THIS ADDRESS  | ne publ<br>ninutes<br>mment<br>Traden  | ic which is to file (and<br>to complete, includin<br>s on the amount of tir<br>ark Office, U.S. Dep<br>D TO: Commissioner | by the USPTO to proces<br>g gathering, preparing, ar<br>ne you require to comple<br>utment of Commerce, P.C.<br>for Patents, P.O. Box 1450 |  |

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#### UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

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| 10/532,232      | 04/22/2005     | Nobuhiro Yasui       | 03500.017649.       | 9042             |  |  |
| 5514 7          | 590 01/21/2009 |                      | EXAMINER            |                  |  |  |
| FITZPATRICK     | CELLA HARPER & | RICKMAN, HOLLY C     |                     |                  |  |  |
| 30 ROCKEFELLI   |                | ART UNIT             | PAPER NUMBER        |                  |  |  |
| NEW YORK, NY    | 10112          | 1794                 |                     |                  |  |  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 634 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 634 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Notice of Allowability Application No. Applicant(s) 10/532,232 YASUI ET AL Examiner Art Unit Holly Rickman 1794 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

-- In MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application for included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition but the applicant. See 37 CFR 1.313 and MPEP 1308.

- This communication is responsive to the amendment filed 1/8/09.
- The allowed claim(s) is/are 1,3-5, now renumbered 1-4.
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) 

    All b) 

    Some\* c) 

    None of the:
    - 1. T Certified copies of the priority documents have been received.
    - Certified copies of the priority documents have been received in Application No.
      - Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- 5. CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
  - (a) including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached
    - 1) Thereto or 2) to Paper No./Mail Date
  - (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date\_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

#### Attachment(s)

- 1. | Notice of References Cited (PTO-892)
- 2. 
  Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3. Information Disclosure Statements (PTO/SB/08),
  - Paper No./Mail Date \_\_\_\_
- Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date .
- 7. Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance

Art Unit: 1794

## REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance: the claims are allowable over the closest prior art to Futamoto et al. (US 6562452). Futamoto et al fails to teach, suggest or otherwise render obvious the claimed structured material having a layer containing a Group 4A metal dispersed in the shape of islands on a MgO (001) layer. The prior art fails to suggest a motivation to modify the Group 4A metal containing layer taught by Futamoto et al. to meet this feature of the claims.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Holly Rickman whose telephone number is (571) 272-1514. The examiner can normally be reached on Monday-Friday 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Rena Dye can be reached on (571) 272-3186. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 1794

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Holly Rickman/ Primary Examiner Art Unit 1794